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PATENT  
Atty. Docket No. 3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 6

Applicant: Fred CHRISTIANS et al.

Examiner: Unknown

Serial No: 09/683,613

Group Art Unit: 1646

Filing Date: January 24, 2002

RESPONSE TO NOTICE TO  
COMPLY WITH 37 C.F.R. 1.821  
TO 1.825

Title: METHODS FOR SCREENING  
POLYPEPTIDES

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MAY 16 2002

Commissioner for Patents  
Washington, D.C. 20231

TECH CENTER 1600/2900

Sir:

Applicant's attorney requests a one-month extension of time to and including May 7, 2002. A petition for Extension of Time and the appropriate fees are being filed concurrently.

In response to the Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed February 7, 2002. A Preliminary Amendment is concurrently filed herewith. Figures 10, 11 and 12 have been deleted in this preliminary amendment. These figures have been deleted to correct informalities. After the amendment, all Nucleotide Sequences have been deleted. Therefore, no sequence listing is required for this application.

Respectfully submitted,

Date: 5-1-2002

Wei Zhou  
Reg. No. 44,419

Legal Department  
Affymetrix, Inc.  
3380 Central Expressway  
Santa Clara, CA 95051  
Tel: 408/731-5000  
Fax: 408/731-5392

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 110

Complete if Known

Application Number 09/683,613  
Filing Date January 24, 2002  
First Named Inventor Fred Christians  
Examiner Name Unknown  
Group / Art Unit 1646  
Attorney Docket No. 3386.1

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## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 01-0431
- Deposit Account Name Affymetrix, Inc.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

### 2. EXTRA CLAIM FEES

Total Claims	20 **	=	0	X	0	=	0
Independent Claims	3 **	=	0	X	0	=	0
Multiple Dependent		=		X	0	=	0

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 110)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Wei Zhou	Registration No. Attorney/Agent)	44,419	Telephone	408-731-5000
Signature		Date	5/1/2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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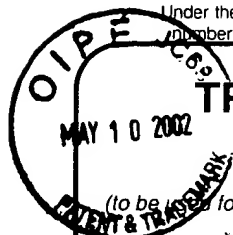
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0351-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	090/683,613
Filing Date	January 24, 2002
First Named Inventor	Fred Christians
Group Art Unit	1646
Examiner Name	Unknown
Attorney Docket Number	3386.1

Total Number of Pages in This Submission

65

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>Acknowledgement Postcard Request to substitute drawings</div>
<div>Remarks</div> <div>COPY OF PAPER ORIGINALLY FILED</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Wei Zhou
Signature	
Date	5-1-2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 1, 2002

Typed or printed name	Leticia Reyes Block
Signature	Leticia Reyes Block
Date	May 1, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PATENT  
Attorney Docket No.3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christians et al.

Examiner: Unknown

Serial No: 09/683,613

Group Art Unit: 1646

Filing Date: January 24, 2002

INFORMATION DISCLOSURE  
STATEMENT

Title: **METHODS FOR SCREENING  
POLYPEPTIDES**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

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MAY 16 2002  
TECH CENTER 1600/2900

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Dated: 5-1-2002

Respectfully submitted,

Wei Zhou  
Reg. No. 44,419

Legal Department  
Affymetrix, Inc.  
3380 Central Expressway  
Santa Clara, CA 95051  
Tel: (408) 731-5000 / Fax: (408) 731-5392

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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Fred CHRISTIANS et al.

Examiner: Unknown

Serial No: 09/683,613

Group Art Unit: 1646

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Title: METHODS FOR SCREENING  
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TRANSMITTAL OF SUBSTITUTE DRAWINGS

Commissioner for Patents  
Washington, D.C. 20231


Sir:

Figures 10 to 12 have been removed from the application. Transmitted herewith are Substitute Figures 13 to 15, consisting of 3 sheets, to be renumbered as Figures 10 to 12, for filing in the subject patent application.

Acceptance of the substitute drawings is respectfully requested.

Respectfully submitted,

Date: 5-1-2002

  
\_\_\_\_\_  
Wei Zhou  
Reg. No. 44,419

Legal Department  
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3380 Central Expressway  
Santa Clara, CA 95051  
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